BABASAHEB BHIMRAO AMBEDKAR UNIVERSITY
LUCKNOW
प्रज्ञा शील करुणा
ESTABLISHED 1996

बाबासाहेब भीमराव अम्बेडकर विश्वविद्यालय

केन्द्रीय विश्वविद्यालय
विद्या विहार, रायबरेली रोड, लखनऊ–226025

BABASAHEB BHIMRAO AMBEDKAR UNIVERSITY

(A Central University)
Vidya Vihar, Rae Bareli Road, Lucknow-226025

Letter No. 503/BBAU/16
Date: 01/ 06 /2016

Notification

In pursuance of the resolution of 52nd meeting of Academic Council held on 03.08.2015 under Table Agenda Item No. **T7,** the M.Phil Regulation 2015 for M.Phil programme and the Academic Regulation for Under Graduate Programmes, are hereby notified with the duration of M.Phil programme as one and a half years.

This issues with the approval of Competent Authority.

REGISTRAR

Copy to:

1. I/c University website for its uploading.

BABASAHEB BHIMRAO AMBEDKAR UNIVERSITY, LUCKNOW
MPhil Regulation 2015

1. Introduction

1.1 These Regulations shall be called the Master of Philosophy (MPhil) Regulation , 2015 incorporating the provisions of the University Grant Commission (Minimum Standard and Procedure for Awards of M.Phil./Ph.D. Degree) Regulations, 2009 as notified in the Gazette of India on 11-17th July, 2009.

1.2 The degree of Master of Philosophy of Babasaheb Bhimrao Ambedkar University (herein after referred to as the M.Phil. degree) shall be awarded to those fulfilling the conditions as laid down in these regulations.

2. Eligibility for Admission

The M.Phil. Degree shall be open to:

2.1 (a) Candidates, who have obtained a Master's Degree of this University or any other University established by law in force or any other degree recognized as equivalent thereto in that subject or allied subject with 55% marks or equivalent letter-grade in qualifying examination (50% marks or equivalent letter-grade for SC/ST/PH).

(b) Candidates appearing at the time of interview must produce documentary evidence to the effect that they have passed the qualifying examination as per (a) above, failing which interview shall not be held for them making them ineligible for admission.

(c) M.Phil. scholars may be permitted to get registered for the M.Phil. degree in a subject different from the one in which they have their Master's Degree provided DRC find it relevant to the M.Phil. Degree.

2.2 The reservation for admission in M.Phil. program shall be applicable as per University rules as provided in the Chapter VII, Clause 9 of Academic Ordinance / Central Government rules. Further, the distribution of seats shall be as per reservation rules, 3% seat shall be reserved for PH candidates (on Horizontal basis).

3 Duration & Extension

The duration of M.Phil. course will be one year. In special circumstances the DRC may grant further extension of one more year (one semester at a time) on the recommendation of Supervisor, Head of the Department and Dean of the School.

4 Procedure for Admission

4.1 Admission to the M.Phil. Program shall be conducted once in a year through URET (University Research Entrance Test).

4.1.1 The candidates seeking admission through URET shall apply in the prescribed Application Form.

4.1.2 The number of seats available for admission in each department shall be tentatively ascertained and notified in the Admission Notice of the Department / School / University.

4.1.3 The URET Admission Notice/Prospectus of the University shall be published in the month of Feb/March every year or as decided by the university. All eligible candidates including those appearing in the qualifying examinations shall be allowed to appear in the URET.

4.1.4 The syllabus of the entrance test would be similar to what has been prescribed by the UGC/ICAR/ CSIR/ ICMR/ DBT/GPAT/GATE such central body for the National Eligibility Test in the subject concerned.

4.1.5 The Entrance test shall be based on a single question paper of two hours containing 80 objective type / short answer type questions of 80 numbers related to concerned subject of one mark each.

4.1.6 The Merit List of candidates shall be prepared on the basis of the following criteria :

(i) Entrance test shall be of 80 marks & marks obtained in the written examination would form basis to qualify for interview. However, 5 marks be added to the marks obtained in the written examination to the candidates who have qualified in the National Eligibility Test (NET) or equivalent test of U.G.C/C.S.I.R/I.C.A.R/I.C.M.R/G.A.T.E/G. P.A.T/D.B.T/ D.A.E at the time of interview, or 10 marks for JRF holder candidates.

(ii) Interview shall be of 10 marks. The candidates shall be called for an interview to be organized by the respective DRC's of the Department / School.

(iii) The final Merit List shall be prepared by adding the marks obtained under above provisions (i) and (ii).

4.2 Admission under Supernumerary quota:

A candidate who fulfils one of the following requirements may be considered for admission to the M.Phil. program by appearing in the URET against supernumerary seats.

(i) A teaching/non-teaching employee of the University holding substantive post and fulfill the Clause 4.1.6 is eligible for admission subject to fulfilment of other rules of the university.

(ii) The University has made a provision of one additional supernumerary seat every year for

- Single girl child
- BPL student
- Terminal illness (Cancer, Thalassemia)

(iii) In case of registration of foreign students (including NRIs) as M.Phil. scholars the equivalence of the degree possessed by them shall be settled by the equivalence committee., then they shall be registered for M.Phil.. There will be no entrance test for them. The number of foreign students permitted to be enrolled at a time will be 10% over and above the prescribed seats on recommendations of concerned DRC.

4.3 Course Outline

Semester - I (Course Work)

Serial Number	Course Code	Paper Title	Credit
1	MPH 101	Research Methodology	06
2	MPH 102	Synopsis and its presentation	06 (04+02)
3	MPH 103	Elective Paper – I	06
4	MPH 104	Elective Paper - II	06
			Total Credits : 24

Semester - II

Serial Number	Course Code	Paper Title	Credit
1	MPH 201	Dissertation	16
2	MPH 202	Seminar and Presentation	04
3	MPH 203	Viva Voce	04
			Total Credits : 24

***Elective Papers:** To be decided by the respective Departments.

4.4 Mode of Examination

Course Code	Sessional	End Semester
MPH 101	30%	70%
MPH103	30%	70%
MPH 104	30%	70%

LEAVE AND ATTENDANCE RULES

Absence from classes continuously for 10 days and more without genuine reasons (in such cases the student must inform the Head of Department) will make the student's name to be removed from the rolls of the University. The leave shall be granted by the Head of the Department/Coordinator of the School/Centre on the recommendation of the supervisor/co-supervisor.

All students are required to have minimum 75% attendance. Thereby student must attend 75% of classes and at least 50% in the repeat course before he/she could be permitted to appear in the examination. There will be no necessity of attendance where scholar is performing field study subject to the certificate given by the concerned Supervisor.

4.5 M.Phil. student shall undertake dissertation under the guidance of a recognized supervisor allotted to him/her on the recommendation of DRC. Allotment of supervisor shall be based on the availability of seats and the specialization of the teacher as also the research interest of the student. Allotment of supervisor shall be done within one month after the admission of candidate.

5 Research Committees

5.1 The Department Research Committee: Constitution of the DRC committee will be as per the provisions of relevant Ph.D. Regulations, as prevalent from time to time.

5.2 The DRC shall have power to co-opt such members of the concerned department and/or experts from the industry as may be helpful to them in their deliberations.

5.3 Eligibility for Supervisor

a) All regular Professors / Assoc. Professor/ Assistant Professor in PG departments of the University with M.Phil./Ph.D. degree shall be eligible to be research supervisors in the respective subjects. Guest or contractual faculty is not eligible.

b) The maximum number of M.Phil. student that a supervisor may supervise shall not exceed 05 at a time. Provided that the candidates admitted under provision 4.2 (i & ii) may be allowed to get registered under supernumerary seats.

c) DRC must ensure that if a teacher is about to superannuate within the one year of the beginning of the course, no student shall be allotted to him / her.

5.4 DRC may appoint one co-supervisor in addition to the regular supervisor to promote inter-disciplinary research on the request of the scholar along with the consent of the supervisor and proposed co-supervisor. The supervisor and co-supervisor may be from the same department/ school/University or from other Universities/Institutes/Centres. But either supervisor or co-supervisor shall be from this University or both can be from this university. External Co-supervisor shall submit their CV to Chairman, DRC through his/her HOD for

recognition in this University as Co- supervisor. The DRC will consider his/her suitability as per University rules and recommend the case through BPGS to the Academic Council for recognition as supervisor/co-supervisor.

5.5 In case a University teacher is supervisor to a particular M.Phil. student that will be counted as one full seat for the concerned supervisor. In case a University teacher is co-supervisor to M.Phil. student that will be counted as a 0.5 seat for the concerned supervisor.

5.6 Change of Supervisor

If the supervisor of a candidate expires or is terminated or resigned from the University service, or retired from the service or under some other reasons, DRC may change the supervisor of the candidate. In such cases, where the existing supervisor of a candidate ceases to be the supervisor, the DRC shall appoint a new supervisor, if any, from amongst the faculty members with the consent of student.



6 Fee Structure:

6.1.1 Registration Fee-

Admission Fee (Chargeable only once at the time of admission)	Rs. 1000/-
Enrolment Fee (Chargeable only once at the time of admission)	Rs.200/-
Medical Examination Fee	Rs. 100/- per semester
Sports Fee	Rs.200/- per semester
Identity Card Fee (including Renewal) (Chargeable only once at the time of admission)	Rs. 100/- per annum
Library Card Fee (each academic year)	Rs. 200/- per annum
Laboratory Caution Money for Science Subjects (only once at the time of admission)	Rs.1000/-
Library Caution Money(only once at the time of admission)	Rs. 500/-
General Caution Money(only once at the time of admission	Rs. 500/-
Thesis Evaluation Fee for M.Phil. (only once at the time of admission)	Rs. 2000/-
Examination Fee	Rs.800/- per semester
Student Welfare Fee	Rs. 300/-
Convocation & Degree Fee (only once in the last semester)	Rs. 500/-

Note: Consolidated admission fee for foreign student shall be US$ 300.

6.1.2 Tuition Fee -

The Tuition fee payable to the University by M.Phil. student shall be Rs.15000 per semester.

6.2 The fee structure shall be as decided by the University from time to time.

6.3 Fee once paid to the University shall not be refunded except the caution money which shall be paid back to the M.Phil. student within three months after award of M.Phil. Degree or the cancellation of the registration, whichever is earlier.

6.4 On the recommendation of the supervisor, the DRC may permit a M.Phil. student to pursue his/her research work at other Government recognized institution(s) if it deems fit the cause of good research. The attendance of that institution will be counted in 75% of attendance.

6.5 A M.Phil. student registered in a Department of the University and permitted by the Head of department on the recommendation of the supervisor to work in an institution outside this university, shall be required to have a recognized co-supervisor at the institution where

he/she proposes to work. The co- supervisor and the supervisor will consult each other in all matters pertaining to the progress of work of the candidate.

6.6 Full fee shall be payable to the University by M.Phil. student for all such extended periods, one year or six months as the case may be.

6.7.1 M.Phil. students shall be required to be present in the University or in the Institution approved for the prescribed terms, their presence in the institution being duly recorded and maintained in the Department/Institution concerned by the supervisor/Co-supervisor as the case may be.

6.7.2 A record of the progress of work of M.Phil. students shall be kept by his/her supervisor. The six monthly progress report of the candidate shall be forwarded by the supervisor/co-supervisor (if any), as the case may be to the Head of the Department on a prescribed proforma, in triplicate. The concerned DRC shall consider and comment on the progress reports and forward the same with specific recommendations to the Dean with a copy to the Registrar. The subsequent six-monthly reports shall be sent in a similar manner. The first or second half yearly report shall indicate the specific topic of research, although not necessarily the precise title of dissertation.

6.7.3 (i) Normally there should be minimum 75 % attendance of the M.Phil. student, based on the Departmental records maintained monthly. In case of field or library based studies, the requirement of attendance can be relaxed by the Head of the Department on the recommendation of the concerned supervisor.

(ii) In case the M.Phil. student is working in other Institutions/ Universities/ Centres, where his/her co-supervisor is working, they may maintain their attendance in those institutions.

(iii) However, student mentioned at para (ii) above will be required to be present in the University during the period of their course work i.e. one semester.

6.7.4 Registration of a M.Phil. student shall be liable to be cancelled by the DRC at any time if:

a) the course work is not completed .

b) two consecutive six-monthly progress reports are not submitted at all or are not found satisfactory by DRC.

c) Attendance is not as per the requirements.

d) Admission may be cancelled at any time if the University finds any wrong information given by the candidate.

6.8 Whenever change of supervisor becomes necessary, the period of research of the M.Phil. student may be extended on the request of the student. The DRC in each individual case shall consider and decide such period of extension duly recommended by the supervisor and the Head of the Department. No fellowship/scholarship shall be paid for the extended period.

6.9 No full time M.Phil. student shall accept any paid assignment, apart from Research Fellowship or Research Assistantship, as the case may be, during the entire period of the research program.

6.10 A M.Phil. student shall not be permitted to take any other degree course, but may be permitted by the concerned Head of Department

on the recommendation of the supervisor to take part-time Diploma or Certificate course(s) useful to his/her research in the same station, without detrimental to his/her research.

7 **Submission of the dissertation:** (Format of the M.Phil. dissertation as per annexure1)

7.1.1 On completion of research work for the M.Phil. by the candidate, Head of the Department, on recommendation of the supervisor, shall organize a seminar and presentation by the candidate to apprise the DRC and other teachers and research scholars of the department regarding the work done by the candidate and to receive suggestion(s) for improvement of the research work

7.1.2 After the seminar, the supervisor shall forward the application of the candidate for submission of the dissertation along with a certificate about completion of the seminar with the exact title of the dissertation as approved by the DRC.

7.2 A M.Phil. student shall ordinarily supplicate his M.Phil.. dissertation within three months from the date of the seminar, through the guide and the Head of the Department and shall forward therewith:

(i) Four copies of dissertation printed or computer printed and one soft copy in PDF format along with four copies of the abstract of the work done giving the salient points of his/her work.

(ii) A certificate from the Guide and the Head of the Department after obtaining a declaration from the student to the effect that "the candidate has completed the research work for the full period prescribed and that the thesis embodies the results of his/her investigation conducted during the period he/she worked as a M.Phil. student".

(iii) A no-dues certificate from all the concerned Departments/units obtained by the research student.

(iv) A certificate/undertaking by the candidate to the effect that "the thesis is essentially free from all kinds of plagiarism".

7.3 The dissertation submitted by the candidate shall be in English or any other language approved by the concerned BPGS on recommendation of the DRC.

7.4 The M.Phil. student shall indicate in the Preface of his/her dissertation how far the latter embodies the original result of his/her own research or observations, and in what respects his/her investigation appear to him/her to advance the knowledge on the subject.

7.5 No M.Phil. student shall submit any work which has been accepted or rejected for any other degree in this or any other University as his/her dissertation. Provided that in such a case, he/she shall indicate in his/her application and in the Preface of his/her thesis, the extent to which such a work, previously done, had been incorporated.

8. Evaluation

8.1 The M.Phil. degree shall in all cases, mention the title of the dissertation, year, name of the Department and School which examined and recommended for the award of the degree.

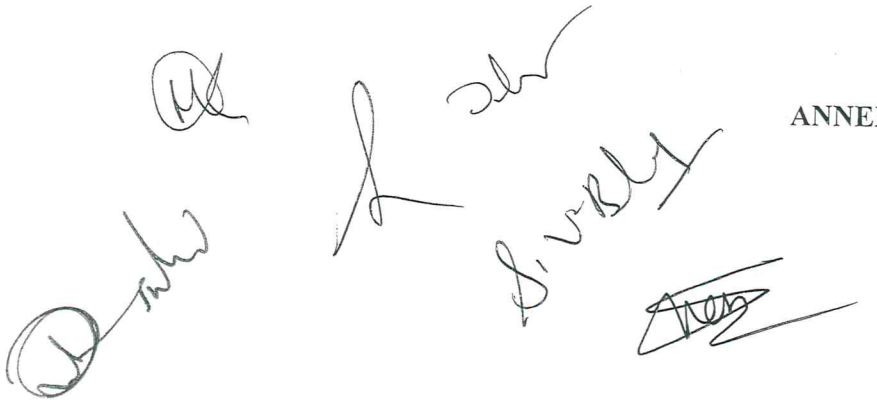
8.2 On completion of the proposed dissertation work by the M.Phil. student, the concerned DRC shall recommend name of the external examiners to whom the dissertation/thesis will be referred to along with the supervisor. The examiner other than the supervisor, should not be below the rank of Associate Professor and above or equivalent The name of examiner shall be submitted by the Controller of Examinations to the Vice-Chancellor for appointment of examiner including the Supervisor.
The recommended external examiner should contain the correct postal & e-mail address and telephone numbers for the speedy evaluation of the dissertation. Once the name is approved, then the Head will call the examiner to conduct evaluation and Viva-voce of the student. TA/DA/Remuneration/Honorarium, etc. will be paid as per University rules to the external examiner.

8.3 A dissertation once submitted cannot be re-submitted except when so directed by the examiners. The revised dissertation must be submitted within six months after the requirements of revision is notified.

8.4 The candidate shall, in case desires to publish the dissertation in full or in part, state on the title page that it was a thesis approved for the award of the M.Phil. degree of Babasaheb Bhimrao Ambedkar University.

9. Difficulty: Notwithstanding anything contained in these Regulations, any question, which is not covered by these Regulations, or any difficulty arising out of these Regulations, shall be dealt with by the Academic Council.

10. Repeal: From the date when M.Phil. Regulations comes into operation, all previous regulations on the subject shall cease to be in effect provided that this revocation shall not affect the previous regulations so revoked or anything done or suffered under any previous regulations so revoked or affects any right, privilege, obligation or liability acquired, arrived or incurred under any regulations so revoked.



ANNEXURE 1