BABASAHEB BHIMRAO AMBEDKAR UNIVERSITY
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Letter.No: 07/A.d./BBAU/14
Dated : 09/01/2014

Notification

The Board of Management of the University in its 47st meeting held on 21.12.2013 has approved the modification/amendments in the existing Ph.D. Regulations on the recommendation of the Academic Council. This will come in-to effect from the date of approval by Board of Management i.e. 21.12.2013.A copy of the revised Ph.D regulations of the University is enclosed herewith.

REGISTRAR

Copy to:-

1. S.O to Vice Chancellor
2. All Deans/Heads of School/Department..
3. P.S. to Registrar
4. DR (Estt.)
5. I/C Website for its uploading

A. Dayal 9/1/2014
Web Asstt

REGISTRAR

BABASAHEB BHIMRAO AMBEDKAR UNIVERSITY, LUCKNOW

Ph.D regulation 1999 as amended in 2013

1. Introduction

1.1 These Regulations shall be called the Doctor of Philosophy (Ph.D) Regulation, 2009, as amended in 2013 incorporating the provisions of the University Grant Commission (minimum standard and procedure for award of M.Phil/Ph.D Degree) regulation 2013. These shall be implemented from the date of notification.

1.2 The degree of Doctor of Philosophy of Babasaheb Bhimrao Ambedkar University (herein after referred to as the Ph.D degree) shall be awarded to those fulfilling the conditions as laid down in these regulations.

2. Procedure for Admission

2.1 Admission to the Ph.D programme shall be conducted once in a year through **URET (University Research Entrance Test)**.

2.1.1 The candidates seeking admission through URET shall apply in the Application Form to the Controller of Examinations on or before the last date announced by the University.

2.1.2 The number of seats available for admission in each department shall be tentatively ascertained and notified in the Admission Notice of the department/school/university. The number of candidates to be called for interview will depend upon the number of seats in the department/school and ordinarily not more than five candidates would be called for the interview for each available seat.

2.1.3 The URET Admission Notice/Prospectus of the University shall be published in the month of March/April every year. All eligible candidates including those appearing in their qualifying examinations shall be allowed to appear in the URET that would be conducted along with the Entrance Test for the P.G Admission in the third/fourth week of May.

2.1.4 The syllabus of the entrance test would be similar to what has been prescribed by the UGC/ICAR/ CSIR/ ICMR/ DBT /GPAT/GATE such central body for the National Eligibility Test in the subject concerned.

2.1.5 The Entrance test shall be based on a single question paper of two hours containing 80 questions related to concerned subject of one mark each.

2.1.6 The Merit List of candidates shall be prepared on the basis of the following criteria:

(i) Entrance test shall be of 80 marks & marks obtained in the written examination would be basis to qualify for interview. However, 5 marks be added to the marks obtained in the written examination to the candidates who have qualified in the National eligibility test or equivalent test of U.G.C/C.S.I.R/I.C.A.R/I.C.M.R/G.A.T.E/G.P.A.T/D.B.T/ D.A.E or passed M.Phill. at the time of interview.

(ii) Interview shall be of 15 marks.

(iii) The final Merit List shall be prepared by adding the marks obtained under above provisions (i) and (ii).

2.2 Prescribed Application Form for admission to the Ph.D. program would be made available by the university as per Admission notification on payment of the prescribed fee fixed by the university. The Application Form duly filled and signed by the candidate needs to be

accompanied with attested copies of mark sheets of all previous examinations from High school/ Higher Secondary or equivalent examination onwards and also caste/other certificates (SC/ST/PH), as applicable. The Application Form complete in all respects to be submitted to the Admission Cell of the University by the fixed last date for further processing for conducting the Entrance Test.

2.3 Based on the merit list prepared as per the provision 2.1.6 (iii), the candidates shall be called for an interview to be organized by the respective DRC's of the Department/School. Based on the performance of the candidates in the interview, the final merit list shall be prepared as per provision 2.1.6 (iii) and the same shall be submitted for necessary approval of the competent authority.

2.3.1 Admission under Supernumerary quota:

A candidate who fulfils one of the following requirements may be considered for admission to the Ph. D. programme by appearing in the URET against supernumerary seats.

(i) A candidate who is already registered as a Ph. D. scholar in some other university and whose supervisor joins this University.

(ii) A teacher of BBAU or of its constituent / affiliated Colleges holding substantive post may be given seat on the recommendation of DRC provided that not more than one candidate will be given admission in one academic year in same department subject to clause 2.1.6.

(iii) A non-teaching employee of the University holding substantive post and fulfill the Clause 2.1.6 is eligible for admission subject to fulfillment of other university rule.

2.4 Course Work:

Each Ph.D student enrolled in this university shall be required to undertake Course Work for one semester.

(a) The students shall be required to pass the Course work consist of uniform 16 credits course as follows

Theory (Research methodology and optional paper = 8 credits
Presentation & review of literature = 4 credits
Synopsis = 4 credits

with minimum B-(minus) grade in each failing which their admission will be cancelled. A maximum of two chances shall be permissible for each paper within an overall duration of two semesters (one year).

(b) The candidates admitted under under provision 2.3.1 (ii) & 2.3.1 (iii) shall be required to take leave (as per the provisions to this effect) from their normal duties for the entire duration (one semester) of the Course Work.

(c) The courses work offered for the Ph. D. programme may be lecture, laboratory, design and self-study courses, mini projects and seminars. Each of these courses shall be of post-graduate or doctoral level.

(d) The Course work shall be treated as Pre-Ph.D preparation and will be comprised the following:

Paper I- Research Methodology :

Every department shall offer an advanced course covering the research methodologies/philosophy (or any other relevant advanced course as

decided by the DRC) of the discipline concerned. This course shall be compulsory for all students of the department concerned. **4 credits**

Paper II- Discipline Specific : (Optional paper)

Every eligible supervisor of a department shall offer one course related to his /her field of specialization. Students shall be free to choose one of these courses as per their research interest. **4 credits**

Paper III- Review of Literature :

In addition to the above two Papers, every student shall be required to submit a review of literature based on a theme/topic of his/her choice. This course may have a component on reviewing of published research work in the relevant field/ a publishable review articles. **4 credits**

Paper IV- Preparation and presentation of synopsis before DRC for evaluation 4 credits

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LEAVE AND ATTENDANCE RULES

Leave Rules

(a) A Ph. D. scholar shall be eligible to avail a leave of 30 days in one academic year. He/she shall not be entitled for any inter-semester breaks, winter and summer vacations. However, he/she is entitled for an additional leave of up to 10 days on medical grounds in an academic year. The aforesaid leave provisions are cumulative. Further, male/female candidates shall be eligible for paternity/maternity leaves as per University rules once during their entire tenure as research scholars and registration period will be extended for one more year.

(b) The leave shall be granted by the Head of the Department/Coordinator of the School/Centre on the recommendation of the supervisor/co-supervisor.

Attendance Requirements

(a) A candidate is required to sign on all working days of the School in an attendance register to be kept in the concerned Department/School/Centre, except when he/she is on duty/sanctioned leave.

(b) A candidate, who is pursuing course work as a part of his/her Ph. D. programme, is expected to have full (100%) attendance in each course. However, a maximum of 30% attendance may be condoned by the Head of the concerned faculty for cogent reasons as per university rules.

(c) The attendance and leave rules shall be applicable only to the full time candidates.

2.5 A Ph.D student shall undertake research work (thesis) under the guidance of a recognized supervisor allotted to him/her by the DRC/BPGS on the recommendation of DRC. Allotment of supervisor shall be based on the availability of seats and the specialization of the teacher as also the research interest of the student. Allotment of supervisor shall be done within three month after the admission of candidate.

3. Eligibility for the Admission
The Ph.D Degree shall be open to:

3.1(a) Candidates, who have obtained a Masters Degree of this University or any other University established by law in force or any other degree recognized as equivalent thereto in that subject or allied subject with 55% marks or equivalent letter-grade in qualifying examination (50% marks or equivalent letter-grade for SC/ST/PH).

(b) Candidates appearing at the time of interview must produce documentary eveidence to the effect that they have passed the qualifying examination as per (a) above, failing which interview shall not be hold for them making them ineligible for the admission,

(c) Ph.D scholars may be permitted to get registered for the Ph.D degree in a Department different from the one in which they have their Master's Degree provided DRC find it relevant to the Ph.D in that school.

3.2 The reservation for admission in Ph.D program shall be applicable as per University rules as provided in the Chapter VII, Clause 9 of Academic Ordinance. Further, the distribution of seats shall be as per reservation rules, 3% seat shall be reserved for PH candidates (on Horizontal basis).

3.3 The Ph.D degree shall in all cases, mention the title of the thesis,name of the Department and School which examined and recommended for the award of the degree.

4. Research Committees

4.1 Subject to the general provision of the Academic Council all matters connected with the Ph.D. program of the University shall be dealt with in accordance with these regulations by the following Committees -

(i) The Research Degree Committee of the University (RDCU)
(ii) The Board of Post Graduate Studies (BPGS)
(iii) The Department Research Committee (DRC)

4.2 The RDCU shall consist of the following:

(i) Vice-Chancellor/his nominee (not below the rank of a professor) (Chairman)
(ii) Pro-Vice-Chancellor (if any)
(iii)Dean of the School concerned

(iv)Director of the Centre/Institute (if any)

(v)Head of the Department concerned

(vi)All Members of the concerned Department Research Committee including representative of SC/ ST(if no member belongs to SC/ST category).

(vii) Supervisor and Co-Supervisor (if any) of the Ph.D. scholar concerned.

The Controller of Examination shall be the Secretary and Convener to all the RDCU's.

4.3 DRC Committee

4.3.1 The DRC shall consist of the following:

(i) The Head of the Department (Chairman)

(ii) All Professors in the Department

(iii) One Reader /Assoc. Professor and one Asstt. Professor from the teaching staff of the Department, by rotation, according to seniority, every two years provided such teachers have Ph.D. degree.

(iv) Supervisor and the Co-Supervisor, if any, shall be invited as special invitee for disposal of cases of his/her Ph.D. scholar.

(v) One SC/ST nominee with Ph.D. degree and not below the rank of an associate professor shall be nominated by the Vice Chancellor from amongst the teachers of the university for a period of two years, if there is no SC/ST member in the Committee.

Generally DRC shall meet twice in a year, but special meeting may be held with permission in case of necessity..

4.3.2 The DRC shall have power to co-opt such members of the concerned department as may be helpful to them in their deliberations.

4.3.3 The DRC shall perform the following function:-

a. DRC shall scrutinize all the applications received for admission to the Ph.D. program and prepare a merit list for the interviews on the basis of academic merit/ qualification & URET, conduct the interviews and prepare the final merit list for admission.

b. The DRC shall recommend the Research supervisior/ Co-supervisor.

c. After completing the course work, the candidates shall submit the plan of work in consultation with the supervisor in the form of a synopsis and, if found suitable, the same shall be approved by the DRC for carrying out the research work. The progress of the research work shall be

evaluated by the DRC based on the six monthly reports submitted by the candidate for this purpose.

4.3.4 Eligibility for Supervisor

a. All Professor, Reader/ Assoc. Professor in PG departments of the University with Ph.D. degree shall be deemed to be research supervisors in the respective subjects.

b. Assistant Professors working in PG departments of the University with doctoral degree and two years teaching experience at post-graduate level shall be eligible for recognition as research supervisors. In case Asstt. Professors working in PG departments of this University without Ph.D. degree, once they completed the Ph.D degree and have got a total of three years of P.G teaching experience would be permitted to enrolled as supervisor.

c. Those who are working in research institutions will require 5 years research experience after Ph.D. degree. They shall apply along with their CV to the Chairman, DRC for consideration and recognition as research Supervisor/Co-supervisor.

d. The maximum number of Ph.D. scholars that a supervisor may supervise shall not exceed 8 for Professor, 6 for Assoc. Professor and 4 for Asstt. Professor at a time. Provided that the candidates admitted under provision 2.3.1 (vi & vii) may be allowed to get registered against zero seat if a regular seat with the perspective supervisor is not vacant.

A seat for Ph.D. registration/ guidance under a faculty member will be treated vacant after submission of thesis by the scholar under the guidance of the said teacher.

(i) In case a co-supervisor is also appointed, the candidate shall be counted towards the quota of both the supervisor and the co-supervisor.

(ii) The teachers of the University shall also be permitted to supervise Ph. D. Scholars admitted in other Universities, provided that

(a) there is a vacancy with the supervisor under whom the candidate intends to work

(b) the sponsoring university seeks prior permission in the matter and

(c) the concerned DRC/SRC/CRC approves it.

(iii) Maximum one candidate can be offered for admission against zero seat under one supervisor. On availability of the seat, the zero seat will be converted into a regular seat.

e. A teacher who has retired/ resigned from the University service may continue to be the supervisor of students registered during the regular service of the teacher provided that candidate has completed minimum residence period & gives consent in writing.

f. If a teacher working in a department different from his/her specialization wishes to be supervisor of a candidate in the subject in which the teacher holds the Ph. D. degree, he/she may be appointed as the supervisor/co-supervisor of the candidate. However, the candidate shall be admitted only in the main department corresponding to the subject of the research of the candidate, in accordance with the normal procedures laid down for admission.

g. A faculty member who is due to retire within the next two years can be appointed as a supervisor and can continue to be the

supervisor even after his/her retirement provided the DRC is convinced of his/her availability for continued guidance to the candidate.

4.3.5 DRC may appoint one co-supervisor in addition to the regular supervisor to promote inter-disciplinary research on the request of the scholar along with the consent of the supervisor and proposed co-supervisor. The supervisor and co-supervisor may be from the same department/ school/University or from other Universities/Institutes/Centres. But either supervisor or co-supervisor shall be from this University or both can be from this university. External Supervisor/Co-supervisor shall submit their CV to Chairman, DRC through his/her HOD for recognition in this University as Supervisor/ Co-supervisor. The DRC will consider his/her suitability as per University rules and recommend the case through BPGS to the Academic Council for recognition as supervisor/co-supervisor.

4.3.6 In case a University teacher is supervisor to a particular Ph.D. scholar who also has one co-supervisor that will be counted as one full seat for the concerned supervisor. In case a University teacher is co-supervisor to a Ph.D. scholar that will be counted as a 0.5 seat for the concerned supervisor.

4.4 In case of registration of foreign students (including NRIs) as Ph.D. scholars the equivalence of the degree possessed by them shall be settled by the equivalence committee comprising concerned Dean of the School and Head of the Department and two subject experts from other institute ,then they shall be registered for Ph.D. There will be no entrance test for them. They will be required to complete course work prescribed for the Ph.D. program. The number of foreign students permitted to be enrolled at a time over and above the prescribed quota on recommendations of concerned DRC.

4.5 Change of Supervisor

(a) If the supervisor of a candidate proceeds on long leave for a period of more than 12 months, or he/she proceeds on leave for a period of less than 12 months, but later extends his/her leave beyond 12 months, then, he/she shall cease to be the supervisor subject to consent of scholar and DRC.

However, if the candidate submits the abstract of the thesis or the thesis itself before the supervisor proceeds on leave, then the existing supervisor shall continue to be the supervisor.

(b) If the supervisor of a candidate expires or is terminated from the University service, he/she shall cease to be the supervisor.

(c) In all the cases, where the existing supervisor of a candidate ceases to be the supervisor, the DRC/SRC/CRC shall appoint the co-supervisor, if any, as supervisor of the candidate, provided the co-supervisor is from the same discipline. If there is no co-supervisor for the concerned candidate or the co-supervisor is from a different discipline, then the DRC/SRC/CRC shall appoint a new supervisor amongst the faculty members with consent of scholar.

4.6 The DRC shall report to the BPGS all its proceedings pertaining to the Ph.D. programme in the department.

5. Registration and Tuition Fee:

5.1 The fee structure shall be as follows:

5.1.1 Registartion Fee-

Admission Fee (only once)	Rs. 1000/-
Enrolment Fee (only once)	Rs. 100/-
Medical Examination Fee	Rs. 100/- per annum
Sports Fee	Rs. 100/- per annum
Identity Card Fee	Rs. 50/- per annum
Library Card Fee	Rs. 50/- per annum
Lab.Caution Money for Science subjects (only once)	Rs. 2000/-
Library Caution Money (only once)	Rs. 500/-
General Caution Money (only once)	Rs. 1000/-

Note: Consolidated admission fee for **Foreign student** shall be US$ 300.

5.1.2 Tuition fee -

The Tuition fee payable to the University by a Ph.D. scholar shall be as follows –

Laboratory based studies for science department	Rs. 2000/- per semester
	(Rs. 1000/- per semester for
	[US$ 150 per semester for Foreign
Non-Laboratory based studies	Rs 1500/- per semester
	(Rs. 750/- per semester for SC/ST/PH)
	[US$ 100 per semester for Foreign

5.1.3 ***Late fee*** of Rs. 200/- shall be charged for the first ten days after the due date of registration notified by the University. But for students who have gone outside the state for fieldwork with due permission of the Supervisor and Head of Deptt., no late fee shall be charged for first ten days after return from the fieldwork. (Late fee for foreign students shall be US$ 15). In case the period of absence exceeds 10 days due to other reasons ,the candidate will deposit Rs. 500/- as late fee on the recommendation of supervisor and HOD.

5.2 Fee once paid to the University shall not be refunded except the caution money which shall be paid back to the Ph.D. scholars within three months after award of Ph.D. Degree or the cancellation of the registration, whichever is earlier.

Fee payable at the time of submission of thesis:

(a) For evaluation of thesis - Rs. 3000/-
(for SC/ST/PH - Rs 1500/-)

(b) For viva-voce examination- Rs. 1200/-
(for SC/ST/PH - Rs 600/-)

5.3 On the recommendation of the supervisor and co-supervisor, if any, the DRC may permit a Ph.D. scholar to pursue his/her research work at other Government recognized institution(s)it deems fit for the cause of good research..

5.4 A Ph.D. scholar registered in a Department of the University and permitted by the Head of department on the recommendation of the supervisor to work in an institution outside this university, shall be required to have a recognized co-supervisor at the institution where he/she proposes to work. The co-supervisor and the supervisor will consult each other in all matters pertaining to the progress of work of the candidate.

5.5.1 The minimum period of registration is two years from the date of declaration of result of course work. The maximum registration period for full time and part time students shall be four and five years respectively. However, the DRC/BPGS, on the recommendation of the supervisor/Co-supervisor, may grant extension in the registration period by up to two semesters (i.e. 1 year). In special circumstances the Vice-Chancellor may grant further extension of one more semester (i.e. six months) on the recommendation of Supervisor, Head of the Department and Dean of the School. However the candidate is not eligible for the University Fellowship/Scholarship during extension period. Part time candidates shall not be eligible for any scholarship/fellowship from any institution or government body during their Ph.D.programme.

5.5.2 .Full fee shall be payable to the University by Ph.D. scholars for all such extended periods, one year or six months as the case may be. There will be no necessity of attendance where scholar is performing field study subject to the certificate given by the concerned Supervisor.

5.6.1 Ph.D. scholars shall be required to be present in the University or in the Institution approved for the prescribed terms, their presence in the institution being duly recorded and maintained in the Department/Institution concerned by the supervisor/Co-supervisor as the case may be.

5.6.2. A record of the progress of work of Ph.D. scholars shall be kept by his/her supervisor. The six monthly progress report of the candidate shall be forwarded by the supervisor/co-supervisor (if any), as the case may be to the Head of the department on a prescribed proforma, in triplicate. The concerned DRC shall consider and comment on the progress reports and forward the same with specific recommendations to the Dean with a copy to the Registrar. The subsequent six-monthly reports shall be sent in a similar manner. The first or second half yearly report shall indicate the specific topic of research, although not necessarily the precise title of thesis.

5.6.3. (i) Normally there should be minimum 75 % attendance of the Research Scholar, based on the Departmental records monthly. In case of field or library based studies the requirement of attendance can be relaxed by the Head of Department on recommendation of the concerned supervisor.

(ii) In case the research scholar is working in other Institutions/ Universities/ Centres, where his/her co-supervisor is working, they may maintain their attendance in those institutions.

(iii) However, scholars mentioned at para (ii) above will be required to be present in the University during the period of their course work i.e. one semester.

5.6.4.Registration of a PhD scholar shall be liable to be cancelled by the DRC at any time if:

(a) the course work is not completed.

(b) two consecutive six-monthly progress reports are not submitted at all or are not found satisfactory by DRC.

(c) Attendance is not as per the requirements.

(d) Admission may be cancelled at any time if the University finds any wrong information given by the candidate.

5.7.1 .In case the appointed supervisor retired, he shall continue as supervisor, provided that candidate has worked under him/her for more than two years.In case appointed supervisor retired and candidate has worked under him/her for less than two years or on leave for more than a year, or otherwise and there is no co-supervisor in the concerned Department to supervise the Ph.D. scholar, the allotment of the supervisor/co- supervisor shall be made by the DRC giving due consideration to the views of the original supervisor and the concerned candidate in this regard. In case a supervisor or co-supervisor is not alive, the DRC can recommend another supervisor on the application of the research scholar. In such cases the student shall not be counted in the prescribed quota of the teacher.

5.7.2 Whenever change of the supervisor becomes necessary, the period of research of the Ph.D. scholar may be extended on the request of the student. The DRC in each individual case shall consider and decide such period of extension duly recommended by the supervisor and the Head of the Department. No fellowship/scholarship shall be paid for the extended period.

5.8 No full time Ph.D. scholar shall accept any paid assignment, apart from research fellowship or Research Assistantship, as the case may be, during the entire period of the research programme.

5.9 A Ph.D. scholar shall not be permitted to take any other degree course, but may be permitted by the concerned Head of department on the recommendation of the supervisor to take part-time Diploma or Certificate course(s) useful to his/her research in the same station, without detrimental to his research.

6. Submission of the Thesis:

6.1.1.On completion of research work for the Ph.D. by the candidate, Head of the Department, on recommendation of the supervisor, shall organize a pre-Ph.D. seminar by the candidate to apprise the DRC and other teachers and research scholars of the department regarding the work done by the candidate and to receive suggestion(s) for improvement of the research work

6.1.2.After the seminar, the supervisor shall forward the application of the candidate for submission of the thesis along with a certificate about completion of the seminar and copies of two research papers published in referred journals and the exact title of the thesis duly approved by the DRC.

6.2., A Ph.D. scholar shall ordinarily supplicate his Ph.D. thesis within six months from the date of his seminar, through the guide and the Head of the Department and shall forward therewith:

(i) Four copies of thesis printed or computer printed and one soft copy in PDF format along with four copies of the abstract of the work done giving the salient points of his/her work.

(ii) A certificate from the Guide and the Head of the department after obtaining a declaration from the scholar to the effect that "the candidate has completed the research work for the full period prescribed and that the thesis embodies the results of his/her investigation conducted during the period he/she worked as a Ph.D. research scholar".

(iii) A no-dues certificate from all the concerned Departments/units obtained by the research scholar.

(iv) A certificate/undertaking by the candidate to the effect that "the thesis is essentially free from all kinds of plagiarism".

6.3 The thesis submitted by the candidate shall be in English or any other language approved by the concerned BPGS on recommendation of the DRC.

6.4 The Ph.D. scholar shall indicate in the Preface of his/her thesis how far the latter embodies the original result of his/her own research or observations, and in what respects his/her investigation appear to him/her to advance the knowledge on the subject.

6.5 The Ph.D. scholar shall produce before DRC some published monograph, papers or article published in national/international journal of repute, whether independently or jointly.

6.6. No Ph.D. scholar shall submit any work which has been accepted or rejected for any other degree in this or any other University as his/her thesis. Provided that in such a case, he/she shall indicate in his/her application and in the Preface of his/her thesis, the extent to which such a work, previously done, had been incorporated.

7. Evaluation

7.1 Upon completion of the proposed research work, the scholar shall produce a draft thesis that shall be submitted for evaluation as per the provisions of these regulations. The concerned DRC shall recommend a panel of eight examiners including the supervisor along with four copies of an abstract of the thesis work. The examiners other than the supervisor, should not be below the rank of Associate Professor/Scientist E-1 and above or equivalent. The panel of examiners shall be submitted by the Controller of Examinations to the Vice-Chancellor for appointment of three examiners (at least one shall be from outside the state) including the Supervisor. The panel of recommended external examiners should contain the correct postal & e-mail address and telephone numbers for the speedy evaluation of the thesis. The Controller of Examinations shall get in touch with each examiner and send the offer of Examinership along with a copy of the abstract of the thesis for acceptance of the Examinership.

7.2 An examiner shall ordinarily be required to accept the appointment offered by the University within a month from the issue of the letter. If no information is received from an examiner within one-month time from the date of issue of the letter of appointment, her/his appointment shall stand cancelled and another examiner shall be appointed. After acceptance received form the examiner, Ph.D. thesis may be sent for evaluation.

7.3 On receipt of the acceptance of any examiner, the Controller of Examinations shall forward the copy of the thesis to him/her and take all action to get the report of the examiner expeditiously.

7.4 The examiners shall be requested to submit their individual reports within two months of the receipt of the thesis.

7.5 In submitting the report, the examiner shall state whether the thesis complies with the following conditions to merit the award of the Ph.D.

a. It should be a piece of research work characterized either by the finding of new facts or by a fresh approach towards interpretation of facts and theories.

b. It should reflect the candidate's capacity for critical examination and judgment.

c. It should be satisfactory in its literary presentation.

7.6 After the examiners have examined the thesis, they may recommend one of the following:

a. that the thesis be accepted for the award of the Ph.D.

b. that the thesis be re-submitted in a revised form.

c. that the thesis be rejected.

In case one or more examiners seek clarification or recommend revision, the report/reports of the examiner/examiners concerned (without mentioning the name/names) shall be sent to the Ph.D. scholar through the supervisor concerned to enable the former to submit clarification through the supervisor on the points raised or to submit the thesis in a revised form, as the case may be. The clarification shall be sent to concerned examiner for perusal and if he/she is satisfied, he/she will make specific recommendation for the award of the degree, forward the copy of the thesis and the

Controller will take all action to get the report of the examiners expeditiously.

7.7.1 The report of each examiner shall be specific and state the grounds on which the recommendation is based.

7.7.2 In case two examiners recommend the award of the Ph.D. degree and the third examiner rejects the thesis or asks for further revision under Clause 7.6 (b), the reports, the recommendations and the thesis shall be referred to a fourth examiner, who may either accept or reject the thesis, and his/her recommendation shall be final.

7.7.3 In case two examiners ask for further revision under 7.6 (b), the thesis shall be rejected.

7.7.4 In case one examiner asks for further revision under 7.6(b) and another examiner rejects under 7.6 (c), then also the thesis shall be rejected.

7.8 If the examiners' reports are unanimous under Clause 7.6(a), the Viva-voce examination of the candidate shall be conducted by a Board consisting of the Head of the department (Chairman), Supervisor (also Co-supervisor, if any and available) as member(s) and one external examiner from those examiners who have examined the thesis. The date and time of the viva-voce examination shall be fixed by the Supervisor in consultation with the external examiner, chairman and other members, if any. All faculty members of the department shall be invited for the viva-voce examination. There shall be open Viva-voce examination.

7.8.1 If the examiners' reports are unanimous under clause 7.8 (c), the concerned candidate shall be informed accordingly along with his supervisor and co-supervisor, if any.

7.9 Viva-Examiners shall submit a comprehensive report on the Viva-voce examination of the candidate regarding his/her performance, including the questions over the various points raised. The Board conducting the Viva-voce shall make the final recommendation for the award of the Ph.D. degree taking into consideration the candidate's performance at the Viva-voce and the reports of the examiners.

7.10 The reports of all the examiners and of the Viva-voce examination shall be placed before the concerned RDCU for consideration. In case of unanimous recommendations, the RDCU shall approve the award of the Ph.D. degree.

7.11 In all other cases or wherever reports are ambiguous or not adequately covered by clauses 7.6 (b) & (c), 7.8.1 and 7.10, the examiners reports shall be directly placed before the concerned RDCU for its specific decision.

7.12 A thesis once submitted cannot be re-submitted except when so directed by the examiners. The revised thesis must be submitted within six months after the requirements of revision is notified.

7.13 The candidate shall, in case of publication of the thesis in full or in part, state on the title page that it was a thesis approved for the award of the Ph.D. degree of BabaSaheb Bhimrao Ambedkar University.

7.14 After the approval of the Academic Council, the examiners reports may be made available to the candidate concerned, on request after depositing fee of Rs. 50/-

7.15. Following the successful completion of the evaluation process and announcements of the award of the Ph.D., the University shall submit a soft copy of the thesis to the UGC within a period of thirty days for hosting the same in INFLIBNET.

8 Difficulty:

Notwithstanding anything contained in these Regulations, any question, which is not covered by these Regulations, or any difficulty arising out of these Regulations, shall be dealt with by the Academic Council.

9 Repeal:

From the date when Ph.D. Regulations comes into operation all previous regulations on the subject shall cease to have effect. Provided that this revocation shall not affect the previous regulations so revoked or anything done or suffered under any previous regulations so revoked or affects any right, privilege, obligation or liability acquired, arrived or incurred under any regulations so revoked.